

✓ Sect 01

**PATENT**  
Attorney Docket No. 2130

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Application of:

CABRERA et al.

Group Art Unit: 2785

Serial No. 09/361,641

Examiner: Not Assigned

Filed: July 26, 1999

For: Extensible System Recovery  
Architecture

**Assistant Commissioner for Patents**  
**Washington, D.C. 20231**

**RESPONSE TO NOTICE TO FILE MISSING PARTS OF APPLICATION**

Applicant hereby responds to the NOTICE TO FILE MISSING PARTS OF APPLICATION dated August 17, 1999. This application is on behalf of a large entity, and pursuant to 37 C.F.R. § 1.16(e), the surcharge for filing this Response is \$130.00.

Submitted with the response and surcharge is a filing fee in the amount of \$760.00, additional claim fees of \$660.00, an Executed Declaration of inventors, and a copy of the Notice to File Missing Parts of Application.

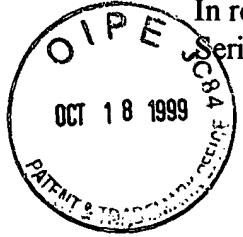
The total fee due is:

Basic Filing Fee	\$760.00
Additional Claim Fee	\$660.00
Surcharge	<u>\$130.00</u>

Total Fee Due: \$1550.00



Charge Deposit Account No. 500463 the sum of \$1550.00. A duplicate of this transmittal is attached.



In re Application of CABRERA et al.  
Serial No. 09/361,641

- If any additional fee is required in connection with this response, please charge Deposit Account No. 500463.
- If any overpayment has been made in connection with this response, please credit Deposit Account No. 500463.

Date: October 14, 1999

Respectfully submitted,

*Albert S. Michalik*

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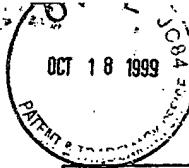
CERTIFICATE OF MAILING

I hereby certify that this response in duplicate, Executed Declaration of Inventors, and copy of the notice are being deposited with the United States Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231.

Date: October 14, 1999

*Albert S. Michalik*

Albert S. Michalik



UNITED STATES DEPARTMENT OF COMMERCE  
Patent and Trademark Office  
Address: COMMISSIONER OF PATENTS AND TRADEMARKS  
Washington, D.C. 20231

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NO/TITLE
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09/361,641 07/26/99 CABRERA

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0262/0817

NOT ASSIGNED

ALBERT S MICHALIK  
MICHALIK & WYLIE PLLC  
14645 BEL-RED ROAD  
SUITE 103  
BELLEVUE WA 98007

2785

DATE MAILED:

08/17/99

**NOTICE TO FILE MISSING PARTS OF APPLICATION**

*Filing Date Granted*

An Application Number and Filing Date have been assigned to this application. The items indicated below, however, are missing. Applicant is given TWO MONTHS FROM THE DATE OF THIS NOTICE within which to file all required items and pay fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a). If any of items 1 or 3 through 5 are indicated as missing, the SURCHARGE set forth in 37 CFR 1.16(e) of  \$65.00 for a small entity in compliance with 37 CFR 1.27, or  \$130.00 for a non-small entity, must also be timely submitted in reply to this NOTICE to avoid abandonment.

If all required items on this form are filed within the period set above, the total amount owed by applicant as a small entity (statement filed)  non-small entity is \$ 1550.00.

1. The statutory basic filing fee is:

- missing.  
 insufficient.

Applicant must submit \$ 760.00 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).

2. Additional claim fees of \$ 156.00, including any multiple dependent claim fees, are required.

\$ 156.00 for 2 independent claims over 3.

\$ 504.00 for 28 dependent claims over 20.

\$            for multiple dependent claim surcharge.

Applicant must either submit the additional claim fees or cancel additional claims for which fees are due.

3. The oath or declaration:

- is missing or unexecuted.  
 does not cover the newly submitted items.  
 does not identify the application to which it applies.  
 does not include the city and state or foreign country of applicant's residence.

An oath or declaration in compliance with 37 CFR 1.63, including residence information and identifying the application by the above Application Number and Filing Date is required.

4. The signature(s) to the oath or declaration is/are by a person other than inventor or person qualified under 37 CFR 1.42, 1.43 or 1.47.

A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.

5. The signature of the following joint inventor(s) is missing from the oath or declaration:

An oath or declaration in compliance with 37 CFR 1.63 listing the names of all inventors and signed by the omitted inventor(s), identifying this application by the above Application Number and Filing Date, is required.

6. A \$50.00 processing fee is required since your check was returned without payment (37 CFR 1.21(m)).

7. Your filing receipt was mailed in error because your check was returned without payment.

8. The application does not comply with the Sequence Rules.

See attached "Notice to Comply with Sequence Rules 37 CFR 1.821-1.825."

9. OTHER:

Direct the reply and any questions about this notice to "Attention: Box Missing Parts."

**A copy of this notice MUST be returned with the reply.**

10/21/1999 HBERHE 00000050 500463 09361641

Customer Service Center

Initial Patent Examination Division (703) 308-12020

01 FC:101 760.00 CH

02 FC:105 130.00 CH

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